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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel YAP, et al.

) Re: Information Disclosure

) Statement

Serial No.: 10/630,189

) Group: 2613

Filed: July 29, 2003

)

) Examiner: K. Malkowski

For: "OPTICAL FREQUENCY MODULATED
TRANSMITTER"

) Our Ref: B-4335NP 620890-7

) Date: April 11, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. Copies of each non-patent publication listed on the enclosed Form PTO-1449 (modified) are enclosed herewith for the Examiner's convenience. Copies of the U.S. patent documents listed on the enclosed Form PTO-1449 (modified) are not enclosed in accordance with 37 C.F.R. § 1.98(a) (2) (ii).

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted after the issuance of a first Office Action and before the issuance of a Final Rejection or Notice of Allowance. Therefore, official fees should be due; and this IDS should be considered on the merits. Enclosed is a check for \$180.00 which is the fee set forth in 37 C.F.R. § 1.97(c).

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

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Information Disclosure Statement
USSN 10/630,189
April 11, 2007
Page 2

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on April 11, 2007 by Guillermo Gonzalez.

Guillermo Gonzalez

Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (1 page)
Copy of each non-patent document
Check for \$180.00

Information Disclosure Statement
USSN 10/630,189
April 11, 2007
Page 3



Form PTO-1449 (Modified) page 1 of 1	ATTY DOCKET NO. B-4335NP 620890-7	U.S. SERIAL NO. 10/630,189
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP, et al.	
	FILING DATE July 29, 2003	GROUP 2633

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Kapon, E., et al., "Phase Blocking Characteristics of coupled ridge waveguide InP/InGaAsP diode lasers", Appl. Phys. Lett. 45 (11) pp. 1159-1161 (December 1, 1984)
Carlson, N.W., et al., "Electronic Beam Steering in monolithic grating-surface-emitting diode laser arrays", Appln. Phys. Lett. 53 (23) pp. 2275-2277 (December 5, 1998)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.